

## **Cobalt Reporting Template (CRT)**

	<u>English</u>				
					Revision 1.1 December 21, 2018
The purpose of this document is to collect sourcing information on spec	rified raw materials u	sed in products, spe	cifically cobalt.		Link to Terms & Conditions
Mandatory fields are noted with an asterisk (*).	Consult the instructio	ns tab for guidance	on how to answer ea	ch question.	
	Company Informati	on			
Company Name (*):	THERMOCOMPACT	<b></b>			
Declaration Scope or Class (*):	A. Company				
Description of Scope:					
Company Unique ID:	494 650 468				
Company Unique ID:	DUNS Number				
Address:		CS 70021 Metz Tess	y, 74371 PRINGY Cede	x	
Contact Name (*):	Laurent BOCCARD				
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Email - Authorizer (*):	pdescaillot@thermocompact.com				
Phone - Authorizer (*):	+33450100669				
Effective Date (*):					
		•			
Answer the following question	is 1 - 6 based on the o	leclaration scope in	dicated above		
1) Is any of the cobalt intentionally added or used in the product(s) or in the production					
process? (*)	Answer		Comments		
Cobalt(*)	Yes				
2) Do any of the smelters in your supply chain source the cobalt from a Conflict-Affected and					
High-Risk area? (OECD Due Diligence Guidance, see definitions tab)(*)	Answer		Comments		
Cobalt(*)	Yes				
3) Does 100 percent of the cobalt originate from recycled or scrap sources? (*)	Answer		Comments		
Cobalt(*)	Unknown		Comments		
dount()	•				
4) What percentage of relevant suppliers have provided a response to your supply chain					
survey? (*)					
• • •	Answer		Comments		
Cobalt(*)	100%				
Cobant()			1		
5) Have you identified all of the smelters supplying the cobalt to your supply chain? (*)					
	Answer		Comments		
Cobalt(*)	Yes				



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Mandatory fields are noted with an asterisk (*). Consult the instructions tab for guidance on how to answer each question.						
6) Has all applicable smelter information received by your company been reported in this declaration? (*)	Answer	Comments				
Cobalt(*)	Yes	Comments				
Anguar the E	ollowing Questions at	a Company Loyal				
Question Answer the Po	Answer	Comments				
A. Have you established a publicly available cobalt sourcing policy?(*)	No	New request at this time				
B. Does your policy cover, at a minimum, all risks in the OECD Due Diligence Guidance Annex II Model Policy, as well as the worst forms of child labor?(*)	Yes	www.thermocompact.com				
C. Have you implemented due diligence measures for cobalt in the declaration scope indicated above 2(*) $$	No	Cobalt is a new request at this time				
D. Do you require suppliers to exercise due diligence over the cobalt supply chain in accordance with the OECD Due Diligence Guidance?(*)	Yes	CRT requiered				
$\label{eq:continuous_equation} E. \ Do \ you \ require \ your \ direct \ suppliers \ to \ source \ cobalt \ from \ smelters \ whose \ due \ diligence \ practices \ have been \ validated \ by \ an \ independent \ third \ party \ audit \ program?(*)$	No	New request at this time				
F. Do you require smelters' due diligence practices to cover, at a minimum, all risks in the OECD Due Diligence Guidance Annex II Model Policy, as well as the worst forms of child labor?(*)	Yes					
G. Does your company conduct cobalt supply chain survey(s) of your relevant supplier(s)?(*)	Yes, CRT	On supplier today for cobalt salts				
$H.\ Do\ you\ review\ due\ diligence\ information\ received\ from\ your\ suppliers\ against\ your\ company's\ expectations? \endaligned against your\ company's\ expectations against your\ c$	Yes					
I. Does your review process include corrective action management?(*)	No					
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Option A: If you know the Smelter Identification Number, input the number in Column A (columns B, C, E, F, G, I and J will auto-populate); D will grey out.

Option B: If you have a Metal and Smelter Look-up name combination, complete the following steps:

Step 1. Select Metal in column B

Step 2. Select from dropdown in column C

Option C: If you have a Metal and Smelter Name combination, complete the following steps:

Step 1. Select Metal in column B

Step 2: Select "Smelter Not Listed" in the Smelter Look-up drop down and complete columns D & E

Step 3. Enter all available smelter information in columns H through Q

- (\*) Mandatory fields are noted with an asterisk.
- (1) Entry required when Smelter Look-up = "Smelter Not Listed"

 $NOTE: A combination of Options A, B and C may be used to complete the Smelter List. \ Do not alter autopopulated cells. \ All errors in the Smelter Look-up should be reported to RBA by contacting RMI@ResponsibleBusiness.org.$ 



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Smelter Identification Number Input Column	Metal (*)	Smelter Look-up (*)	Smelter Name (1)	Smelter Country (*)	Smelter Identification	Source of Smelter Identification Number	Smelter Street	Smelter City	Smelter Facility Location: State / Province
	Cobalt	Smelter Not Yet Identified		Unknown					