

Conflict Minerals Reporting Template (CMRT)

Select Language Preference Here: 遠路程成的語言: 小泉数 인터를 선택하시오.; 臺元豊原をここから選択してください: Sélectionner la langue préférée ici: Selectione Preferência de idioma Aqui; Wählen sie hier die Sprache;

<u>English</u>

| 14/2.1M/ | Selectionner la langue prefere et lc. Selecione Preferência de idioma Aqui: | English | | | |
|--|---|--------------------------|----------------------|---------------------------------|----------------------------|
| | Wählen sie hier die Sprache: Seleccione el lenguaie de preferencia aqui: | | | | |
| | Selezionare la lingua di preferenza qui: | | | | Revision 5. |
| The numers of | Burada Dil Tercihini Belirleyin: of this document is to collect sourcing information on | tin toutolum tungs | ton and gold used in | a was durate | April 26, 20 |
| The purpose of | or this document is to collect sourcing information on | un, tantaium, tungs | ten and gold used in | products | Link to Terms & Conditions |
| | Manufacture fields are unchalarith an actual of the | | | | |
| | Mandatory fields are noted with an asterisk (*). C | | | on now to answer each question. | |
| | | Company Informati | on | | |
| | Company Name (*): | THERMOCOMPACT | | | |
| | Declaration Scope or Class (*): | A. Company | | | |
| | Description of Scope: | | | | |
| | | | | | |
| | Company Unique ID: | 494 650 468 | | | |
| | Company Unique ID Authority: | DUNS Number | | | |
| | Address: | | , CS 70021 Metz Tess | y, 74371 PRINGY Cedex | |
| | Contact Name (*): Email - Contact (*): | Laurent BOCCARD | nm | | - |
| | Phone - Contact (*): | +33450275979 | | | |
| | Authorizer (*): | Philippe DESCAILLO |)T | | |
| | Title - Authorizer: | СЕО | <u> </u> | | |
| | | | | | |
| | Email - Authorizer (*): | pdescaillot@thermocompac | t.com | | |
| | Phone - Authorizer (*): | +33450100669 | | | |
| | Effective Date (*): | 28-Au | g-2019 | | |
| | Ancyrou the followingti | c 1 - 7 haced on the | laclaration scens ! | dicated above | |
| | Answer the following question | s 1 - / pased on the (| еслагация scope in | uicated above | |
| | | | | | |
| 1) Is any 3TG intentionally added or used in | the product(s) or in the production process? (*) | Answer | | Comments | |
| | Tantalum | No | | | |
| | Tin (*) | Yes | | | |
| | Gold (*) | Yes | | | |
| | | | | | |
| | Tungsten | No | | | |
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| 0.5 0.50 | C40 | | | | |
| 2) Does any 3TG remain in the product(s)? | | Answer | | Comments | |
| | Tantalum | | | | |
| | Tin (*) | Yes | | | |
| | Gold (*) | Yes | | | |
| | Tungsten | | | | |
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| | nin source the 3TG from the covered countries? | | | | |
| (SEC term, see definitions tab) (*) | | Answer | | Comments | |
| | Tantalum | | | | |
| | Tin (*) | No | | | |
| | Gold (*) | No | | | |
| | Tungsten | | | | |
| | Tungsten | | | | |
| | | | | | |
| 4) Does 100 percent of the 2TC (percecept) | to the functionality or production of your products) | | | | |
| originate from recycled or scrap sources? (| | Answer | | Comments | |
| | Tantalum | | | | |
| | Tin (*) | Unknown | | | |
| | | | | | |
| | Gold (*) | Unknown | | | |
| | Tungsten | | | | |
| | | | | | |
| | | | | | |
| | ave provided a response to your supply chain | | | | |
| survey? (*) | | | | | |
| | | Answer | | Comments | |
| | Tantalum | | | | |
| | Tin (*) | 100% | | | |
| | Gold (*) | 100% | | | |
| | Tungsten | | | | |
| | rungsten | | | | |
| | | | | | |
| 6) Have you identified all of the smelters sup | pplying the 3TG to your supply chain? (*) | | | | |
| | | Answer | | Comments | |
| | Tantalum | | | | |
| | Tin (*) | Yes | | | |
| | Gold (*) | Yes | | | |
| | | | | | - |
| | Tungsten | | | | |



Conflict Minerals Reporting Template (CMRT)

Select Language Preference Here:
遠域等位的資金。

小島型 60日 年初時かんと、
養元書館をここから選択してください。
Selectionner la langua préférée lci:
Selectionser la langua préférée lci:
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Burda Dil Tercihin Bellirelvio.

The purpose of this document is to collect sourcing information on tin, tantalum, tungsten and gold used in products

Revision 5. April 26, 20 Link to Terms & Conditions

 $\label{thm:manuscond} \textbf{Mandatory fields are noted with an asterisk (*). Consult the instructions tab for guidance on how to answer each question.}$

| 7) Has all applicable smelter information received by your company been reported in this declaration? (*) | . | Comments |
|--|---|-----------------------|
| Tantalum | Answer | Comments |
| Tin (*) | Yes | |
| Gold (*) | Yes | |
| Tungsten | | |
| | | |
| Ouestion Answer the Fol | lowing Questions at a Company Level Answer | Comments |
| A. Have you established a conflict minerals sourcing policy? (*) | Yes | Comments |
| A. Have you established a conflict minerals sourcing policy? (*) | ies | |
| B. Is your conflict minerals sourcing policy publicly available on your website? (Note – If yes, the user shall specify the URL in the comment field.) (*) | Yes | www.thermocompact.com |
| | | |
| C. Do you require your direct suppliers to be DRC conflict-free? (*) | Yes | |
| | | |
| D. Do you require your direct suppliers to source the 3TG from smelters whose due diligence practices have been validated by an independent third party audit program? (*) | Yes | CMRT |
| | | |
| E. Have you implemented due diligence measures for conflict-free sourcing? (*) | Yes | www.thermocompact.com |
| | | |
| F. Does your company conduct Conflict Minerals survey(s) of your relevant supplier(s)? (*) | Yes, in conformance with IPC1755 (e.g., CMRT) | |
| | | |
| G. Do you review due diligence information received from your suppliers against your company's expectations? (*) | Yes | |
| | | |
| H. Does your review process include corrective action management? (*) | Yes | |
| | | |
| I. Is your company required to file an annual conflict minerals disclosure with the SEC? (*) | No | |
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Option A: If you know the Smelter Identification Number, input the number in Column A (columns B, C, E, F, G, I and J will auto-populate); D will grey out.

 $Option\ B:\ If\ you\ have\ a\ Metal\ and\ Smelter\ Look-up\ name\ combination, complete\ the\ following\ steps:$

Step 1. Select Metal in column B

Step 2. Select from dropdown in column C (wrong combination will trigger RED color)

Option C: If you have a Metal and Smelter Name combination, complete the following steps:

Step 1. Select Metal in column B

Step 2: Select "Smelter Not Listed" in the Smelter Look-up drop down and complete columns D & E

Step 3. Enter all available smelter information in columns H through Q

- (*) Mandatory fields are noted with an asterisk.
- (1) Entry required when Smelter Look-up = "Smelter not listed"

NOTE: A combination of Options A, B and C may be used to complete the Smelter List. Do not alter autopopulated cells. All errors in the Smelter Look-up should be reported to RMI by contacting RMI@responsiblebusiness.org.



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| Smelter Identification Number Input Column | Metal (*) | Smelter Look-up (*) | Smelter Name (1) | Smelter Country (*) | Smelter Identification | Source of Smelter Identification Number | Smelter Street | Smelter City | Smelter Facility Location: State / Province |
|---|-----------|--|------------------|---------------------|---------------------------|--|----------------|--------------|---|
| | Gold | Metalor Technologies S.A. | | SWITZERLAND | CID001153 | RMI | | Marin | Neuchâtel |
| | Gold | Umicore S.A. Business Unit Precious Metals Refining | | BELGIUM | CID001980 | RMI | | Hoboken | Antwerpen |
| | Tin | Malaysia Smelting Corporation (MSC) | | MALAYSIA | CID001105 | RMI | | Butterworth | Pulau Pinang |
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| Smelter Identification Number Input Column | Metal (*) | Smelter Look-up (*) | Smelter Name (1) | Smelter Country (*) | Smelter Identification | Source of Smelter Identification Number | Smelter Street | Smelter City | Smelter Facility Location: State / Province |
|---|-----------|---------------------|------------------|---------------------|---------------------------|--|----------------|--------------|---|
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